

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In re the application of:** Charles R. Ill and  
Scott Bidlingmaier

**Serial No.:** Not Yet Assigned

**Filed:** Herewith

**For:** *Novel Vectors and Genes Exhibiting  
Increased Expression*

**Attorney Docket No.:** TTI-180DV

**Group Art Unit:** Not Yet Assigned

**Examiner:** Not Yet Assigned



**BOX SEQUENCE**

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

**TRANSMITTAL LETTER FOR DISKETTE OF SEQUENCE LISTING**

Dear Sir:

In response to a Notice to Comply with Requirements for Patent Applications Containing Nucleotide and/or Amino Acid dated June 7, 1999 in the parent application, USSN 09/205,817, enclosed is a diskette which contains a computer readable form of the corrected Sequence Listing. The Sequence Listing complies with the requirements of 37 C.F.R. §1.821. The material on the enclosed diskette is identical in substance to the substitute Sequence Listing appearing on pages 1-44 filed herewith. The computer readable form of the Sequence Listing contained on the enclosed diskette is understood to comply with the requirements of §1.824(d) and 1.825(d). *No new matter has been added.*

**Certificate of First Class Mailing (37 CFR 1.8(a))**

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: BOX SEQUENCE, Assistant Commissioner for Patents, Washington, DC 20231 on:

April 20, 2000  
Date  
  
Jane E. Remillard, Esq. Registration No. 38,872

Respectfully submitted,

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CONFIDENTIAL

Application No.: 09/205,817

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING  
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☐ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☒ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: \_\_\_\_\_

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04/20/00

**Applicant Must Provide:**

- ☒ An substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

For PatentIn software help, call (703) 308-6856

**PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR RESPONSE**